

Appointment of investment adviser

This form allows you to tell the product provider company, RL360 Life Insurance Company Limited (RL360° Services), of your choice of investment adviser.

Section 1 of this form should be signed and dated by all applicants/bond holders. Sections 2 and 3 should be completed by the investment adviser.

Copies of the completed application form are available on request.

Please send the completed form to: RL360° Services, International House, Cooil Road, Douglas, Isle of Man IM2 2SP, British Isles.

Section 1 (to be completed in full by all applicants/bond holders)

Name of investment adviser	<input type="text"/>
Address	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode	<input type="text"/>
Daytime telephone number	<input type="text"/>
Fax number	<input type="text"/>
Email address	<input type="text"/>

I/We request the above named to be the investment adviser to the Account/Fund (as set out in the Policy Provisions) to which my Global Investor/Portfolio Bond is to be linked, subject to the terms and conditions set out in Section 2.

I/We authorise RL360° Services to deduct a financial services charge/investment advisory fee* (see notes overleaf) from the cash account and pay the amount deducted to the investment adviser. The financial services charge/investment advisory fee is inclusive of any applicable VAT.

It is calculated quarterly based on the value of the Global Investor Bond/Portfolio Bond, and is deducted from the cash account at each quarterly valuation.

Please select either the amount or percentage to be paid:

A. GBP % **OR** USD % **OR** EUR %

OR

B. Set percentage deducted from the Global Investor/Portfolio Bond per quarter

- 0.0625% per quarter (0.25% annual equivalent)
- 0.125% per quarter (0.5% annual equivalent)
- 0.1875% per quarter (0.75% annual equivalent)
- 0.25% per quarter (1% annual equivalent)
- No fee
- Other

Section 1 continued

My/Our selected investment objectives and levels of risk to which the investment adviser is hereby entrusted to adhere are as follows:

Please tick your selected investment objective

A balanced return from income and capital growth	<input type="checkbox"/>	Primarily to maximise capital growth	<input type="checkbox"/>
Primarily to maximise income	<input type="checkbox"/>	Not listed above, see copy attached	<input type="checkbox"/>

Please tick your selected attitude to risk

High Medium Low

I/We acknowledge that RL360° Services accepts no responsibility for ensuring that the investment objectives and risk levels are followed by the investment adviser and that RL360° Services will not monitor compliance by the investment adviser with the stated objectives and risk levels.

I/We understand that RL360° Services is not liable in any manner for the tax consequences of the payment of any such charge, and that I/we have taken my/our own advice on taxation matters* (see notes below).

I/We understand and accept that RL360° Services shall not be liable for any loss caused to the Global Investor/Portfolio Bond as a consequence of RL360° Services following advice given by, or the negligence of, the investment adviser or for the investment performance of any assets to which my/our Global Investor/Portfolio Bond is linked.

In the event of a change of ownership of the Global Investor/Portfolio Bond, or part thereof, (for example an assignment) I/ We will immediately inform RL360° Services in writing. The appointment of the investment adviser in respect of the assigned Global Investor/Portfolio Bond, or part thereof, will terminate on the date of assignment unless written notice is given to RL360° Services that the appointment is to continue.

Name	<input type="text"/>	<input type="text"/>
Signature	<input type="text"/>	<input type="text"/>
Date (dd/mm/yyyy)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Name	<input type="text"/>	<input type="text"/>
Signature	<input type="text"/>	<input type="text"/>
Date (dd/mm/yyyy)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

***Please note:**

- RL360° Services will refuse a request to appoint an investment adviser if, in its opinion, the investment adviser is not suitably qualified.
- In our opinion, the payment of the financial services charge/investment advisory fee is an expense of RL360° Services, charged to the Global Investor/Portfolio Bond. Its payment does not, therefore, give rise to a surrender of rights.

Section 2 (to be completed by the investment adviser)

In relation to the above request the investment adviser is hereby appointed in respect of:

Global Investor/Portfolio Bond policy number

Any recommendations of the investment adviser as to the purchase or sale of assets of the Account/Fund will generally be accepted subject to the following qualifications and conditions:

Investment criteria (for Privilege Accounts only)

All recommended purchases and subsequent switches shall be selected from RL360° Services' range of approved collective investment schemes and deposit accounts as described in the *Global Investor/Portfolio Bond investment criteria* leaflet, reference RLS024.

If you are not sure whether a particular asset is acceptable, please contact the Personal Portfolio Services and Investment Department at RL360° Services' head office in the Isle of Man, at the address on the reverse of this form.

Section 2 continued

Investment criteria for Personal Account only (not available for UK residents)

Only shares listed and quoted on a recognised stock exchange shall be recommended. Only bonds that are in line with RL360° Services's current accepted ratings shall be recommended. Only currency deposits in major freely convertible currencies held with authorised institutions shall be recommended. Only authorised collective investment schemes which are FCA recognised, or equivalent shall be recommended.

No direct investment is allowed in derivatives or in tangible commodities.

If you are not sure whether a particular asset is acceptable, please contact the Personal Portfolio Services and Investment department at RL360° Services's head office in the Isle of Man, at the address on the reverse of this form.

Additional conditions

All assets purchased and dealings must be made and rendered in the name of RL360° Services.

The investment adviser hereby acknowledges and agrees to adhere to the applicant/bondholder's selected investment objectives and levels of risk, as set out in Section 1. The investment adviser acknowledges that RL360° Services accepts no responsibility for ensuring that the investment objectives and risk levels are followed by the investment adviser, and that RL360° Services will not monitor compliance by the investment adviser with the applicant/bondholders stated objectives and stated risk levels.

The investment adviser shall ensure that the investment policy and transactions recommended by him will not lead to the Account/Fund being more than 100% invested, nor shall it exceed any agreed 'overdraft' limit and that appropriate liquidity in the Account/Fund is maintained in order to meet charges, regular withdrawals and any other liabilities incurred on behalf of the Global Investor/Portfolio Bond.

Notwithstanding any other provision in this section, RL360° Services in its absolute discretion retains the right to reject or accept recommendations given by the investment adviser.

This appointment ('the Agreement') may be terminated by either party upon written notice. RL360° Services shall be entitled to terminate this Agreement without specifying a reason.

In the event of death, bankruptcy or insolvency of the investment adviser or upon the investment adviser's wilful misconduct or negligent act or omission or if, being a company, it passes a resolution to wind-up the company (other than for the purpose of reconstruction or amalgamation) or where a liquidator or receiver of its assets is appointed, or an administration order is made in respect thereof, or if it becomes illegal for the investment adviser to continue to act in this capacity, this Agreement shall terminate forthwith, without notice.

This Agreement is not assignable and investment recommendations will only be accepted from the appointed investment adviser.

This Agreement shall be governed and construed in accordance with the laws of the Isle of Man and the Manx courts shall have exclusive jurisdiction in relation to any dispute arising out of this Agreement.

I/We agree to supply RL360° Services with a list of the names and specimen signatures of all persons authorised to give investment recommendations in respect of the Account/Fund as per Section 3 and will procure that the obligation to notify RL360° Services, contained therein, will be complied with.

The undersigned confirm that I/we have read the conditions outlined above and agree to act in accordance with them.

Name [input box]
Signature [input box]
Date (dd/mm/yyyy) [input boxes]
For and on behalf of [input box]
Investment adviser company stamp [input box]

The Company hereby acknowledges that it agrees to appoint the above named investment adviser.

Name [input box]
Signature [input box]
Date (dd/mm/yyyy) [input boxes]

Signed for and on behalf of RL360 Life Insurance Company Limited.

Section 3 Authorised signatories

To: RL360° Services, RL360 House, Cooil Road, Douglas, Isle of Man IM2 2SP, British Isles.

Global Investor/Portfolio Bond policy number

I/We confirm that I/we have the authorisation necessary under the legislation and regulations in the country in which the instruction is given and comply with the rules of the appropriate regulatory body(ies), while acting as investment adviser to the Global Investor/Portfolio Bond. I/We confirm that I/we will notify RL360° Services of any change to any relevant authorisation including any disciplinary action taken against me/us.

Category of authorisation

Regulatory number

Signature investment adviser

Date (dd/mm/yyyy)

For and on behalf of

Name of authorised person

Specimen signature

Name of authorised person

Specimen signature

Name of authorised person

Specimen signature

Name of authorised person

Specimen signature

Name of authorised person

Specimen signature

(If applicable, please attach separate list.)

Privacy and cookie policies

Our full privacy and cookie policies can be viewed at www.rl360.com/privacy or can be obtained by requesting a copy from our Data Protection Officer.