Servicing

Appointment of investment adviser and financial services charge
委任投資顧問 及理財服務費

This form is only for use with the Global Investor or Portfolio Bond.

Please complete the sections that are relevant to you.

1. To appoint an investment adviser please complete sections 1, 2a, 2b and 3.

2. To request a Financial Services Charge to be applied to a Bond please complete sections 1 and 3.

本表格僅供 Global Investor 計劃或 Portfolio Bond 投資保單使用。

請填妥與閣下有關的部分。

1. 如擬委任投資顧問，請填寫第 1、2a、2b 及 3 部分。

2. 如擬從投資保單支付理財服務費，請填寫第 1 及 3 部分。

1. Bond owner details (to be completed in all cases) 計劃 / 投資保單持有人資料

Bond number (if known) 投資保單編號（若已知）	
Name of investment (eg Global Investor) 投資名稱（如 Global Investor 計劃）	
Name of first Bond owner 第一投資保單持有人姓名	
Name of second Bond owner 第二投資保單持有人姓名	
Name of third Bond owner 第三投資保單持有人姓名	
Name of fourth Bond owner 第四投資保單持有人姓名	

RL 360 SERVICES

2a. Nomination of investment adviser (to be completed by the Bond owner(s)/applicants)
提名投資顧問(由投資保單持有人/申請人填寫)

Please complete this section if you want to appoint an investment adviser to your Bond.

This formally notifies RL360 Life Insurance Company Limited ('the Company') of your choice of investment adviser.

Please specify the investment adviser's full name, postal address, telephone and fax numbers.

To: RL360 Life Insurance Company Limited, RL360 House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles.

如擬為投資保單委任投資顧問,請填妥本部分。

茲此正式通知 RL360 Life Insurance Company Limited(「該公司」)閣下所選擇的投資顧問。

請註明投資顧問的全名、通訊地址、電話及傳真號碼。

寄至:RL360 Life Insurance Company Limited, RL360 House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles.

Portfolio number *Personal/Privilege Account (*delete as applicable) 資產組合編號 *個人/特權戶口 (*請刪去不適用者)	
Name of investment adviser ('the investment adviser') 投資顧問(「閣下所選擇的投資顧問」)姓名	
Address 地址	
Postcode 郵遞區號	
Telephone number 電話號碼	
Fax number 傳真號碼	

I/We request the above named be appointed as the investment adviser to the Bond to which my Global Investor/Portfolio Bond is to be linked, subject to the terms and conditions set out in section 2b.

Please note that RL360 Life Insurance Company Limited ('the Company') will refuse a request to appoint an investment adviser if, in its opinion, the investment adviser is not suitably qualified.

Please complete section 3 of this form, (if no Financial Services Charge is to be applied please tick the 'No charge box') and all applicants/policyholders should sign and date Section 3.

I/We authorise the Company to deduct a Financial Services Charge (as outlined in Section 3 of this form) from the cash account and pay the amount deducted to the investment adviser. The Financial Services Charge is calculated quarterly based on the value of the Bond, and is deducted from the cash account at each quarterly valuation.

I/We understand that the Company is not liable in any manner for the tax consequences of the payment of any such charge and that I/we have taken my/our own advice on taxation matters.

In the event of a change of ownership of the Bond (eg assignment) immediate notification should be sent in writing to the Company. The written authority of the new owners (eg assignees) must be obtained if the investment adviser is to continue to act in relation to the Bond to which my Global Investor/Portfolio Bond is linked. Please refer to the Company for obtaining an appropriate Deed of Assignment form.

Hong Kong resident investors may only select those collective investment schemes which are authorised by the Securities and Futures Commission in Hong Kong.

根據第 2b 部分所載的條款及條件,本人/我們要求委任上述人士,為本人的 Global Investor 或 Portfolio Bond 投資保單掛勾的投資保單擔任投資顧問。

謹請留意,如 RL360 Life Insurance Company Limited(「貴公司」)認為該投資顧問未能符合適當資格,則有權拒絕委任投資顧問。

請填妥本表格第 3 部分(如理財服務費並不適用,請於「無費用」一欄加剔號) ,所有申請人/保戶必須在第 3 部分簽名並註明日期。

本人/我們授權貴公司從現金戶口中扣除理財服務費(按本表格第 3 部分所述),並將所扣除的款項支付投資顧問。理財服務費根據投資保單的價值每季計算,並於每季估值時從現金戶口中扣除。

本人/我們理解貴公司對支付任何該等費用的稅務後果概不負責,而本人/我們已就稅務事宜徵詢意見。

若投資保單的持有權變更(如轉讓),應立即發送書面通知給公司。若投資顧問繼續代理與本人的 Global Investor/Portfolio Bond 關聯的投資保單,則必須取得新持有人的書面授權。請諮詢公司,獲取相關的「轉讓契據」表格。

香港居住的投資者,僅可選擇已獲香港證券及期貨事務監察委員會認可的集體投資計劃。

2b. Appointment of investment adviser (to be completed by the investment adviser)
委任投資顧問(由投資顧問填寫)

Pursuant to the above request the investment adviser is hereby appointed when this Appointment is signed on behalf of RL360 Life Insurance Company Limited and a copy has been returned to the investment adviser:

根據上述要求,於代表 RL360 Life Insurance Company Limited 簽署本表格及將副本交回投資顧問後,茲此委任投資顧問:

Any recommendations of the investment adviser as to the purchase or sale of assets of the Bond will generally be accepted subject to the following qualifications and conditions.

在不抵觸下列資格及條件下,投資顧問就買賣投資保單資產的建議一般會獲接納。

Terms and Conditions (to be read and completed by the investment adviser)

條款和條件(投資顧問須細閱及填妥)

For Privilege Accounts

All recommended purchases and subsequent switches shall be selected from the Company's range of approved collective investment schemes and deposit accounts as described in the Principal Brochure issued when you took out the Bond, and the funds leaflet.

If you're not sure whether a particular asset is acceptable, please contact the Personal Portfolio Services department at the Company's head office in the Isle of Man, at the address on the end of this form.

特權戶口

所有建議購買及其後轉換的基金,必須屬於貴公司經批准的集體投資計劃系列及存款戶口,詳情在於當您認購投資保單時發出的主介紹書及基金單張。

如不確定某一項資產是否獲接納,可聯絡貴公司位於馬恩島總辦事處的個人投資組合服務部,地址列於本表格最後一頁。

For Personal Accounts

Only bonds that are in line with the Company's current accepted ratings may be recommended.

Only currency deposits in major freely convertible currencies held with authorised institutions may be recommended.

Only authorised collective investment schemes may be recommended.

No direct investment is allowed in derivatives or in tangible commodities.

If you're not sure whether a particular asset is acceptable, or for an up-to-date copy of the investment criteria, please contact the Personal Portfolio Services department at the Company's head office in the Isle of Man, at the address at the end of this form.

個人戶口

所有建議的債券必須達到貴公司現時接納的信貸評級。

所有建議的外幣存款必須為可自由兑換的貨幣,並由認可機構持有。

所有建議的集體投資計劃必須已獲認可。

不可直接投資於衍生產品或有形式商品。

如不確定某一項資產是否獲接納,或欲索取最新的投資條件,可聯絡貴公司位於馬恩島總辦事處的個人投資組合服務部,地址列於本表格最後一頁。

Additional conditions

All assets purchased and dealings must be made and rendered in the name of the Company.

The investment adviser shall ensure that the investment policy and transactions recommended by him/her will not lead to the Bond being more than 100% invested, nor shall it exceed any agreed overdraft limit and that appropriate liquidity in the Bond is maintained in order to meet charges, regular encashments and any other liabilities incurred on behalf of the Bond.

The investment adviser shall only recommend collective investment schemes which are authorised in Hong Kong, and in making a recommendation the investment adviser warrants that the collective investment scheme is so authorised, and the Company shall be under no obligation to make further enquiries as to the status of the collective investment scheme.

I/We understand and accept that the Company shall not be liable for any loss caused as a consequence of the Company following advice given by, or negligence of, the investment adviser, or for the investment performance of the funds to which my Bond is linked.

Notwithstanding any other provision in this section the Company in its absolute discretion retains the right to reject or accept advice given by the investment adviser.

This Appointment may be terminated by either party upon written notice. The Company shall be entitled to terminate this Appointment without specifying a reason. In the event of death, bankruptcy or insolvency of the investment adviser or upon the investment adviser's wilful misconduct or negligent act or omission or if, being a company, it passes a resolution to wind-up the company (other than for the purpose of reconstruction or amalgamation) or where a liquidator or receiver of its assets is appointed, or an administration order is made in respect thereof, or if it becomes illegal for the investment adviser to continue to act in this capacity, this Appointment shall terminate forthwith, without notice.

This Appointment is not assignable and investment recommendations will only be accepted from the appointed investment adviser.

This Appointment shall be governed and construed in accordance with the laws of the Isle of Man and the Isle of Man courts shall have exclusive jurisdiction in relation to any dispute arising out of this Appointment.

I/We agree to supply the Company with a list of the names and specimen signatures of all persons authorised to give investment recommendations in respect of the Bond and will procure that the obligation to notify the Company, contained therein, will be complied with.

The undersigned confirm that I/we have read and understood the conditions outlined above and agree to act in accordance with them.

I/We confirm that I/we have the authorisation necessary under the legislation and regulations in the country in which the instruction is given and comply with the rules of the appropriate regulatory body(ies), while acting as investment adviser to the Fund to which the Bond is linked.

I/We confirm that I/we will notify the Company of any change to any relevant authorisation including any disciplinary action taken against me/us.

其他條件

所有資產購買及交易必須以本公司的名義作出及進行。

投資顧問必須確保其建議的投資政策及交易不會導致投資保單的投資超過 100%，亦不會超逾任何議定的透支限額，並維持投資 保單的適當流動資金水平，以供支付費用、定期提款及投資保單產生的其他支出。

投資顧問必須建議已在香港獲認可的集體投資計劃；在作出某項建議時，投資顧問保證有關集體投資計劃已獲認可，貴公司概無 責任進一步查詢該集體投資計劃的狀況。

本人 / 我們明白及接納，貴公司對於依據投資顧問的意見或投資顧問的疏忽而導致的任何虧損，或與本人投資保單掛勾的基金的投資表現，概毋須負責。

儘管本部分設有任何其他規定，貴公司司全權酌情保留權利拒絕或接納投資顧問提供的意見。

本項委任可由任何一方以書面終止。貴公司有權終止本項委任，而毋須申述任何理由。倘投資顧問身故、破產或無力償債，或投資顧問蓄意行為不當、疏忽或不作為，或（如為一間公司）通過決議案進行清盤（並非為重組或合併目的），或已為資產委任清盤人或接管人，或以就此頒發接管令，或投資顧問繼續以此身份行事將屬違法，則本項委任將毋須通知而立即終止。

本項委任不可轉讓，僅會接納由獲委任投資顧問所作的投資建議。

本項委任須受馬恩島法例監管及按之而詮釋，馬恩島法院有絕對司法管轄權審理因本項委任所產生的任何爭議。

本人 / 我們同意向貴公司提交明確授權就投資保單提供投資建議的所有人士名單及其簽署式樣，並促使妥為履行其中所載通知 貴公司的責任。

下列署名人士確認，本人 / 我們已細閱及明白上述條件，並同意據此行事。

本人 / 我們確認，本人 / 我們已根據所在國家的法例及法規獲得所需認可以作出指示，並符合有關監管機構的規則以投資顧問 身份代表與投資保單掛勾的基金行事。

本人 / 我們確認，本人 / 我們將通知貴公司任何有關授權的變動，包括任何針對本人 / 我們採取的紀律行動。

Name of regulatory authority (if applicable)
監管機構名稱(如適用)

Category of membership 會籍類別

SRO number 編號

Signature 簽署

Date 日期 (dd/mm/yyyy)

For and on behalf of 代表

Name of authorised person 授權人姓名

Specimen signature 簽署式樣

Name of authorised person 授權人姓名

Specimen signature 簽署式樣

Name of authorised person 授權人姓名

Specimen signature 簽署式樣

Name of authorised person 授權人姓名

Specimen signature 簽署式樣

(If applicable, please attach separate list.) (如適用,請另外附上名單。)

Company stamp 公司印鑑

To be completed by RL360 Life Insurance Company Limited

The Company by its signature hereto appoints the above named investment adviser.

由 RL360 Life Insurance Company Limited 填寫

本公司茲此簽署,以委任以上名列的投資顧問。

Signature 簽署

Date 日期 (dd/mm/yyyy)

Signed for and on behalf of the Company
代表本公司簽署

3. Financial Service Charge (to be completed by Bond owner(s)/applicants)
理財服務費(由投資保單持有人/申請人填寫 - 非必要填寫部分)

A Financial Services Charge can only be applied if an investment adviser has been requested in section 2a and 2b of this form or has already been requested by the policyholder and appointment by the Company.

The Financial Services Charge covers the costs incurred by your appointed investment adviser for providing ongoing investment advice.

It is deducted in arrears from the cash account linked to your Bond. Where applicable, the charge will be shown in your Policy Documentation.

You can vary or cancel the charge by giving one month's notice in writing to the Company. You should also inform the investment adviser if you vary or cancel the charge.

如擬從投資保單支付理財服務費,請填寫本部分。在設定理財服務費前,必須已於本表格第 2a 及 2b 部分要求委任投資顧問, 或保戶已提出要求並由本公司委任投資顧問。

理財服務費是支付閣下委任的投資顧問持續提供投資意見的成本。收取方式是於每季結算後從閣下投資保單所掛勾的現金戶口中扣減。如適用,閣下的保單文件會顯示這項服務費。 閣下可提前一個月以書面通知本公司,以更改或取消支付這項費用。在更改或取消這項費用時,閣下亦應通知投資顧問。

Authorisation

I/We authorise the Company to deduct a Financial Services Charge from my Bond and to pay the amount deducted to my investment adviser set out in either section 2a of this form or below (where an investment adviser has been previously appointed).

授權

本人/我們茲授權貴公司從本人/我們的投資保單中扣除理財服務費,並將所扣除的款項支付名列本表格第 2a 部分或下列 (如已從先前委任投資顧問)的投資顧問。

Name of investment adviser ('the investment adviser') 投資顧問(「閣下所選擇的投資顧問」)姓名	
Address 地址	
Postcode 郵遞區號	
Telephone number 電話號碼	
Fax number 傳真號碼	

Please select the amount of the charge (by ticking the relevant box), which is a percentage of the bid value of units allocated to your Bond:

請選擇費用金額(在適當方格中加剔號),按閣下投資保單所獲分配投資單位的買入價百分比計算:

- 0.0625% per quarter in arrears (equivalent to 0.25% each year) 每季度後 0.0625%(相等於每年 0.25%) ☐
- 0.125% per quarter in arrears (equivalent to 0.5% each year) 每季度後 0.125%(相等於每年 0.5%) ☐
- 0.1875% per quarter in arrears (equivalent to 0.75% each year) 每季度後 0.1875%(相等於每年 0.75%) ☐
- 0.25% per quarter in arrears (equivalent to 1.0% each year) 每季度後 0.25%(相等於每年 1.0%) ☐
- No charge 無費用 ☐

I/We understand that the Company is not liable in any manner for the tax consequences of the payment of the Financial Services Charge and that I/we have taken my/our own advice on taxation matters.

本人/我們理解貴公司對支付理財服務費的稅務後果概不負責,而本人/我們已就稅務事宜徵詢意見。

To be completed by the investment adviser

I confirm that I am aware of the application of the above Financial Services Charge.

由投資顧問填寫

本人確認,已獲悉上述理財服務費的適用性。

Name of appointed investment adviser 獲委任投資顧問的姓名	
Signature of appointed investment adviser 獲委任投資顧問的簽署	
Date 日期 (dd/mm/yyyy)	

To be completed by RL360 Life Insurance Company Limited

The Company by its signature hereto agrees the application of the above Financial Services Charge.

由 RL360 Life Insurance Company Limited 填寫

本公司茲此簽署，同意上述理財服務費的適用性。

Signature 簽署

Date 日期 (dd/mm/yyyy)

Signed for and on behalf of the Company
代表本公司簽署

Change of Ownership

In the event of a change of ownership of the Bond (eg assignment) immediate notification should be sent in writing to the Company. The written authority of the new owners (eg assignees) must be obtained if the investment adviser is to continue to act in relation to the Bond to which my Global Investor/Portfolio Bond is linked. Please refer to the Company for obtaining an appropriate Deed of Assignment form.

更改擁有權

如戶口的擁有權被更改（例如透過轉讓），必須立即以書面通知貴公司。如保留委任同一投資顧問代表為本人的 Global Investor 計劃或 Portfolio Bond 掛勾投資保單行事，則須獲得新擁有人（例如承讓人）的書面授權。請向貴公司索取適當的轉讓契據表格。

Name of first applicant 第一申請人姓名

Signature 簽署

Date 日期 (dd/mm/yyyy)

Name of second applicant 第二申請人姓名

Signature 簽署

Date 日期 (dd/mm/yyyy)

Name of third applicant 第三申請人姓名

Signature 簽署

Date 日期 (dd/mm/yyyy)

Name of fourth applicant 第四申請人姓名

Signature 簽署

Date 日期 (dd/mm/yyyy)